

# **Investor Complaints Data - Stock Broker**

### Data for June 2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**  Pending for Pending for		Average Resolution time^(in days)
						less than 3 months	more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	-
2	SEBI (SCORES)	2	0	2	2	0	0	4
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (Smart ODR)	2	0	2	2	0	0	6
5	Grand Total	4	0	4	4	0	0	

Centrum Broking Limited (CIN.: U67120MH1994PLC078125)
Member: NSE & BSE, DP: CDSL

Registered and Corporate Office.: Level -9, Centrum House, C.S.T. Road, Vidyanagari Marg, Kalina, Santacruz (East), Mumbai – 400098.



SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April – 2025	2	1	1	2
2	May - 2025	2	5	7	4
3	June-2025	4	0	4	0
	Grand Total	4	6	8	6

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## Trend of annual disposal of complaints

SN	Year	Carried forward from	Received during the	Resolved during	Pending at
		previous year	year	the year	the end of the year
1	2017-18	1	7	7	1
2	2018-19	1	12	13	0
3	2019-20	0	14	12	2
4	2020-21	2	8	10	0
5	2021-22	0	5	5	0
6	2022-23	0	6	6	0
7	2023-24	0	6	6	0
8	2024-25	11	30	27	14
	Grand Total	15	88	86	17

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<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



### **Investor Complaints Data – Depository Participant**

#### Data for June 2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at th month**	Pending at the end of the month**	
						Pending for less than 3 months	Pending formore than 3 months	
1	2	3	4	5	6	7	•	8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

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SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April – 2025	0	0	0	0
2	May - 2025	0	0	0	0
3	June-2025	0	0	0	0
	Grand Total	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

#### Trend of annual disposal of complaints

SN	Year	Carried forward from	Received during the	Resolved during	Pending at
		previous year	year	the year	the end ofthe year
1	2017-18	0	3	3	0
2	2018-19	0	6	6	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	1	1	0
7	2023-24	0	6	6	0
8	2024-25	0	1	1	0
	Grand Total	0	17	17	0

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<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in thecurrent month divided by total number of complaints resolved in the current month.



### **Investor Complaints Data – Portfolio Managers**

### Data for June 2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

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SN	Month	Carried forward from previous Month	Received	Resolved*	Pending**
1	April – 2025	0	0	0	0
2	May – 2025	0	0	0	0
3	June-2025	0	0	0	0
	Grand Total	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	2	2	0
2	2019-20	0	1	1	0
3	2020-21	0	0	0	0
4	2021-22	0	2	2	0
5	2022-23	0	0	0	0
6	2023-24	0	5	5	0
7	2024-25	1	1	2	0
	Grand Total	1	11	12	0

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<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in thecurrent month divided by total number of complaints resolved in the current month.



### **Investor Complaints Data – Research Analysts**

# Data for June 2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at t month**	Pending at the end of the month**	
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6		7	8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

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SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2025	0	0	0	0
2	May-2025	0	0	0	0
3	June-2025	0	0	0	0
	Grand Total	0	0	0	0

# Trend of annual disposal of complaints

^Average resolution time is the sum total of time taken to resolve each complaint in thecurrent month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25	0	0	0	0
	Grand Total	0	0	0	0

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