

Investor Complaints Data - Stock Broker

Data for September 2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors #	0	1	1	1	0	0	6
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	1	1	1	0	0	6

The said complaint is deemed to be closed since client didn't responded.

Centrum Broking Limited (CIN.: U67120MH1994PLC078125)

Member: NSE & BSE, DP: CDSL

Registered and Corporate Office.: Level -9, Centrum House, C.S.T. Road, Vidyanagari Marg, Kalina, Santacruz (East), Mumbai – 400098.

Tel.: - +91 22 4215 9000 Email: info@centrum.co.in Website: www.centrumbroking.com

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April – 2024	0	1	1	0
2	May – 2024	0	1	1	0
3	June – 2024	0	0	0	0
4	July -2024	0	2	2	0
5	August-2024	0	1	1	0
6	September-2024	0	1	1	0
	Grand Total	0	6	6	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	1	7	7	1
2	2018-19	1	12	13	0
3	2019-20	0	14	12	2
4	2020-21	2	8	10	0
5	2021-22	0	5	5	0
6	2022-23	0	6	6	0
7	2023-24	0	6	6	0
	Grand Total	4	58	59	3

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Investor Complaints Data – Depository Participant

Data for September 2024

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						Pending for less than 3 months	Pending formore than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

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Trend of monthly disposal of complaints

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1	April – 2024	0	0	0	0
2	May-2024	0	0	0	0
3	June- 2024	0	1	1	0
4	July-2024	0	0	0	0
5	August-2024	0	0	0	0
6	September-2024	0	0	0	0
	Grand Total	0	1	1	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	3	3	0
2	2018-19	0	6	6	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	1	1	0
7	2023-24	0	6	6	0
	Grand Total	0	16	16	0

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Investor Complaints Data – Portfolio Managers

Data for September 2024

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						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

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2	May – 2024	0	0	0	0
3	June – 2024	0	0	0	0
4	July-2024	0	1	1	0
5	August-2024	1	0	1	0
6	September-2024	0	0	0	0
	Grand Total	1	1	2	0

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Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	2	2	0
2	2019-20	0	1	1	0
3	2020-21	0	0	0	0
4	2021-22	0	2	2	0
5	2022-23	0	0	0	0
6	2023-24	0	5	5	0
	Grand Total	0	10	10	0

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Investor Complaints Data – Research Analysts

Data for September 2024

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						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

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2	May – 2024	0	0	0	0
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4	July - 2024	0	0	0	0
5	August-2024	0	0	0	0
6	September-2024	0	0	0	0

Trend of annual disposal of complaints

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2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
	Grand Total	0	0	0	0

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