

Dhara Hemal Mehta

From: COMPLIANCE_DEPT@nse.co.in
Sent: Friday, October 4, 2024 3:14 PM
To: Ajay Sanjay Bendkhale
Subject: Advisory to Members
Attachments: EXCHANGE_COMM_LOG_188200_Sender.zip

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Dear All,

It has been brought to the notice of the Exchange that,

- person named “Manhar Jain” operating through applications named “Trade with Trust”, “Touch Wood Trader Pro” and mobile number “9090094343” is providing stocks tips and dabba/illegal trading platform to the investors.
- person named “Vivek Sharma” is falsely claiming to be associated with an entity named “Choice India Brokerage Company” and operating through mobile number “8889053280”, is providing securities market tips and is offering to handle trading account of investors by asking investors to share their Login ID/password. Investors are requested to take note that Choice Equity Broking Private Limited, a registered trading member of Exchange, has informed NSE that the above-mentioned person is not associated with them in any manner.

Investors are cautioned and advised not to subscribe to any scheme/product offered by any person/entity in the stock market as the same is prohibited by law. It may also be noted that this person/entity is not registered either as a member or authorised person of any registered member of the National Stock Exchange of India Limited. A police complaint has been lodged in this regard. In terms of Sections 23(1) of Securities Contracts (Regulation) Act, 1956 (SCRA), any entity /person who contravenes sections 13,16,17 or 19 of the SCRA shall be prosecuted and on conviction, he shall be punishable with imprisonment for a term which may extend to ten years or with the fine up to twenty-five crores or with both. As per Section 25 of SCRA, offences punishable under section 23 are cognizable offences within the meaning of Code of Criminal Procedure,1973 and as such can be investigated by state law enforcement authorities also. In addition to being violative of the securities laws, dabba trading also falls within the purview of Section 406,420 and Section 120-B of Indian Penal Code,1870.

The consolidated list of Press Releases issued by Exchange is available on the NSE website under the link <https://www.nseindia.com/invest/advisory-for-investors> . Investors are cautioned and advised NOT to trade on such illegal trading platforms.

Participation in such illegal platforms is at the investor's own risk, cost and consequences as such illegal trading platforms are neither approved nor endorsed by the Exchange.

The investors may note that for any kind of disputes relating to such prohibited schemes none of the following recourses will be available to investors:

1. Benefits of Investor Protection under Exchange's Jurisdiction 2. Exchange Dispute Resolution Mechanism 3. Investor Grievance Redressal mechanism administered by Exchange

Investors are advised to take note of the above.

Thanks & Regards,
Membership Compliance Department
National Stock Exchange of India Limited

PRESS RELEASE

Mumbai, 03 October 2024

Caution for Investors

It has been brought to the notice of the Exchange that person named “Manhar Jain” operating through applications named “Trade with Trust”, “Touch Wood Trader Pro” and mobile number “9090094343” is providing stocks tips and dabba/illegal trading platform to the investors.

Investors are cautioned and advised not to subscribe to any scheme/product offered by any person/entity in the stock market as the same is prohibited by law. It may also be noted that this person/entity is not registered either as a member or authorised person of any registered member of the National Stock Exchange of India Limited. A police complaint has been lodged in this regard.

In terms of Sections 23(1) of Securities Contracts (Regulation) Act, 1956 (SCRA), any entity /person who contravenes sections 13,16,17 or 19 of the SCRA shall be prosecuted and on conviction, he shall be punishable with imprisonment for a term which may extend to ten years or with the fine up to twenty-five crores or with both. As per Section 25 of SCRA, offences punishable under section 23 are cognizable offences within the meaning of Code of Criminal Procedure,1973 and as such can be investigated by state law enforcement authorities also. In addition to being violative of the securities laws, dabba trading also falls within the purview of Section 406,420 and Section 120-B of Indian Penal Code,1870.

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The investors may note that for any kind of disputes relating to such prohibited schemes none of the following recourses will be available to investors:

1. Benefits of Investor Protection under Exchange's Jurisdiction
2. Exchange Dispute Resolution Mechanism
3. Investor Grievance Redressal mechanism administered by Exchange

Investors are advised to take note of the above.

Issued in interest of investors



About National Stock Exchange of India Limited (NSE):

National Stock Exchange of India (NSE) was the first exchange in India to implement electronic or screen-based trading. It began operations in 1994 and is ranked as the largest stock exchange in India in terms of total and average daily turnover for equity shares every year since 1995, based on SEBI data. NSE has a fully integrated business model comprising exchange listings, trading services, clearing and settlement services, indices, market data feeds, technology solutions and financial education offerings. NSE also oversees compliance by trading, clearing members and listed companies with the rules and regulations of SEBI and the exchange. NSE is a pioneer in technology and ensures the reliability and performance of its systems through a culture of innovation and investment in technology. NSE is the world's largest derivatives exchange by trading volume (contracts) as per the statistics maintained by Futures Industry Association (FIA) for calendar year 2023. NSE is ranked 3rd in the world in equity segment by number of trades (electronic order book) in 2023, as per the statistics maintained by World Federation of Exchanges (WFE).

For more information, please visit: www.nseindia.com

For any media queries please contact:

Email ID: cc@nse.co.in

PRESS RELEASE

Mumbai, 03 October 2024

Caution for Investors

It has been brought to the notice of the Exchange that person named “Vivek Sharma” is falsely claiming to be associated with an entity named “Choice India Brokerage Company” and operating through mobile number “8889053280”, is providing securities market tips and is offering to handle trading account of investors by asking investors to share their Login ID/password.

Investors are requested to take note that Choice Equity Broking Private Limited, a registered trading member of Exchange, has informed NSE that the above-mentioned person is not associated with them in any manner.

The investors are cautioned and advised not to subscribe to any scheme/product offered by any person/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law. Further, investors are advised not to share their trading credentials such as user id/password with anyone. It may also be noted that the said person/entity are not registered either as a member or Authorised Person of any registered member of the National Stock Exchange of India Limited. Exchange has provided a facility of “Know/Locate your Stock Broker” under the link <https://www.nseindia.com/invest/find-a-stock-broker> on its website, to check the details of the registered member and its Authorised Persons. Further, the designated bank accounts named as client bank accounts to receive/pay money from/to investors as disclosed by the trading members to Exchange are also displayed under the said link. Investors are advised to check the details while dealing with any person/entity.

The consolidated list of Press Releases issued by Exchange is available on the NSE website under the link <https://www.nseindia.com/invest/advisory-for-investors>.

Participation in such prohibited schemes is at investors' own risk, cost and consequences as such schemes are neither approved nor endorsed by the Exchange.

The investors may note that for any kind of disputes relating to such prohibited schemes none of the following recourses will be available to investors:

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