

**Additional information to be obtained along with the SARAL Account Opening Form  
for Resident Individuals**

|      |   |   |   |   |   |   |   |   |
|------|---|---|---|---|---|---|---|---|
| Date | D | D | M | M | Y | Y | Y | Y |
|      |   |   |   |   |   |   |   |   |

To be filled by the Depository Participant)

|                           |  |           |   |   |   |   |   |   |   |   |
|---------------------------|--|-----------|---|---|---|---|---|---|---|---|
| Application No.           |  | Date      | D | D | M | M | Y | Y | Y | Y |
| DP Internal Reference No. |  |           |   |   |   |   |   |   |   |   |
| DP ID                     |  | Client ID |   |   |   |   |   |   |   |   |

**Holders Details**

|                            |  |     |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|----------------------------|--|-----|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Sole / First Holder's Name |  | UID |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Second Holder's Name       |  | PAN |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|                            |  | UID |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Third Holder's Name        |  | PAN |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|                            |  | UID |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

|  |       |
|--|-------|
| <b>Name *</b>  | _____ |
| *In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above. |       |

|                                     |  |
|-------------------------------------|--|
| <b>Status</b>                       | <b>Sub – Status</b>                          |
| <input type="checkbox"/> Individual | <input type="checkbox"/> Individual Resident |

|   |  |
|---|--|
| I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')   | <input type="checkbox"/> Yes <input type="checkbox"/> No   |
| Account Statement Requirement   | <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly |
| I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____   | <input type="checkbox"/> Yes <input type="checkbox"/> No   |
| I / We would like to share the email ID with the RTA  | <input type="checkbox"/> Yes <input type="checkbox"/> No   |
| I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical) |  |

|  |  |
|--|--|
| <b>I/ We</b> wish to receive dividend / interest directly in to <b>my</b> bank account as given in SARAL AOF through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time ] | <input type="checkbox"/> Yes <input type="checkbox"/> No |
|--|--|

|   |  |
|---|--|
| <b>Other Details</b><br>Gross Annual Income Details | <b>Income Range per annum:</b><br><input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs 5,00,000 <input type="checkbox"/> Rs 5,00,000 to ` 10,00,000<br><input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000 <input type="checkbox"/> More than Rs 25,00,000  |
|   | Net worth as on (Date) _____<br>[Net worth should not be older than 1 year]  |
| Occupation  | <input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture<br><input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____ |
| Please tick , if applicable:                        | <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)  |
| Any other information:                              |  |

|   |   |  |
|---|---|--|
| <b>SMS Alert Facility</b><br>Refer to Terms & Conditions given as <b>Annexure - 2.4</b> | MOBILE NO. +91 _____<br>[(Mandatory , if you are giving Power of Attorney ( POA)]<br>(if POA is not granted & you do not wish to avail of this facility, cancel this option).   |  |
| <i>Easi</i>   | To register for <i>easi</i> , please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> .<br><i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online. |  |

**Nomination Details**

|                                    |              |
|------------------------------------|--------------|
|                                    |              |
| <b>Nomination Registration No.</b> | <b>Dated</b> |

I /We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- I/We **do not wish to nominate any one for this demat account.**
- I/We **nominate** the following persons who is/are entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.

| Nomination Details  | Nominee 1               | Nominee 2               | Nominee 3               |
|---|-------------------------|-------------------------|-------------------------|
| Nominee Name :<br>*First Name:<br>Middle Name:<br>*Last Name  | .....<br>.....<br>..... | .....<br>.....<br>..... | .....<br>.....<br>..... |
| Nomination Details  | Nominee 1               | Nominee 2               | Nominee 3               |
| *Address:   |                         |                         |                         |
| *City   |                         |                         |                         |
| *State  |                         |                         |                         |
| *Pin  |                         |                         |                         |
| *Country  |                         |                         |                         |
| Telephone No.   |                         |                         |                         |
| FAX No.   |                         |                         |                         |
| PAN No.   |                         |                         |                         |
| UID   |                         |                         |                         |
| Email ID  |                         |                         |                         |
| *Relationship with the BO:  |                         |                         |                         |
| Date of birth (mandatory if Nominee is a minor)<br>dd-mm-yyyy   |                         |                         |                         |
| Name of the Guardian of Nominee (if nominee is a minor)<br>*First Name:<br>Middle Name:<br>*Last Name | .....<br>.....<br>..... | .....<br>.....<br>..... | .....<br>.....<br>..... |
| *Address of the guardian of nominee:  |                         |                         |                         |

|   |                          |                          |                          |
|---|--------------------------|--------------------------|--------------------------|
| *City   |                          |                          |                          |
| *State  |                          |                          |                          |
| *Country  |                          |                          |                          |
| *PIN  |                          |                          |                          |
| Age   |                          |                          |                          |
| Telephone   |                          |                          |                          |
| Fax No.   |                          |                          |                          |
| Email ID  |                          |                          |                          |
| *Relationship of the Guardian with the Nominee  |                          |                          |                          |
| *Percentage of allocation of securities   |                          |                          |                          |
| *Residual Securities [please tick any one nominee. If tick not marked default will be first nominee]: | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**Note :** Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

**\* Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

**Note: One witness** shall attest signature(s) / thumb impression(s)

|                        |                      |
|------------------------|----------------------|
| Details of the Witness |                      |
|                        | <b>First Witness</b> |
| Name of witness        |                      |
| Address of witness     |                      |
| Signature of witness   |                      |

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

|            | First/Sole Holder or Guardian (in case of Minor) | Second Holder | Third Holder |
|------------|--|---------------|--------------|
| Name       |  |               |              |
| Signatures |  |               |              |

**(Signatures should be preferably in black ink).**

===== Please Tear Here) =====

**Acknowledgement Receipt**

**Application No.:**

**Date:**

We hereby acknowledge the receipt of the Account Opening Application Form:

|                                 |  |
|---------------------------------|--|
| Name of the Sole / First Holder |  |
| Name of Second Holder           |  |
| Name of Third Holder            |  |

**Depository Participant Seal and Signature**